



**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

Patent Application

Inventors: Rene Gerrit
Heideman et al.

Serial No.: 10/756627

Filing Date: 1/13/2004

Art Unit: 2874

Examiner: Sung H. Pak

Docket No.: 145-001US

Title: Low Modal Birefringent Waveguides and Method of Fabrication

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

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Name of person signing this certificate: Lillian Hayes.

Signature _____

INFORMATION DISCLOSURE STATEMENT

The enclosed Information Disclosure Statement is submitted for consideration in the above-identified application pursuant to:

- ☐ 37 CFR 1.97(b),
- ☒ 37 CFR 1.97(c), or
- ☐ 37 CFR 1.97(d).

For submissions under 37 CFR 1.97(c) or (d), the fee set forth in 37 CFR 1.17(p) is included, and:

- ☒ 1.97(e)(1) Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- ☐ 1.97(e)(2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Pursuant to 37 CFR 1.98:

- ☒ 1.98(a) A copy of each reference is enclosed.
- ☐ 1.98(d) A copy of each reference is not enclosed, but can be found in application Serial Number _____, which is relied upon by this case for an earlier filing date.

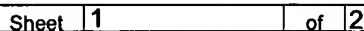
Pursuant to 37 C.F.R. 1.136(a)(3), please treat this and any concurrent or future reply in this application that requires a petition for an extension of time for its timely submission as incorporating a petition for extension of time for the appropriate length of time.

Respectfully,
DeMont & Breyer, LLC

By Wayne S. Breyer
Wayne S. Breyer
Reg. No. 38089
Attorney for Applicants
732-578-0103 x12

Date 7 Oct 2005

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Application Number	10/756,627
Filing Date	1/13/2004
First Named Inventor	Heideman
Art Unit	2874
Examiner Name	Pak, Sung H
Attorney Docket Number	145-001US

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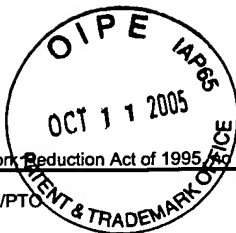
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (i wn)				
	5	WO 02/059662-A	8/1/2002	BrueI		
	6	EP 1265084-A	12/11/2002	Alcatel		
	7	EP 1219983-A	7/3/2002	NTT		

Examiner Signature		Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 10/756,627

Filing Date 1/13/2004

First Named Inventor Heideman

Art Unit 2874

Examiner Name Pak, Sung h

Attorney Docket Number 145-001US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	8	RIDDER, ET AL., Sil. Oxynit. Planar Waveguiding Struct. for Application in Opt. Comm., IEEE J. of Sel. Top. in Quant. Elect, Dec. 1998, pp. 930-936, Vol. 4, No. 6, IEEE, USA	
	9	WACH, PCT Search Report, 8/8/2005	

Examiner Signature	Date Considered
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